

ATTORNEY DOCKET NO.: 5547

PATENT APPLICATION

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Mark ROSENBERG

Confirmation No.: 5201

Application No.: 09/592,845

Examiner: N. Subramanian

Filing Date: June 13, 2000

Group Art Unit: 3624

Title: INVESTMENT STRUCTURE AND METHOD HAVING FIXED AND CONTINGENT  
COMPONENTS

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER 37 CFR 1.14

Subsection (b) of 35 U.S.C. 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on  
or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Sir:

This is a Request for Continued Examination (RCE) under CFR 1.114 of the above-identified application.

Submission under 37 CFR 1.114

☐ Previously submitted:

☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on  
(Any unentered amendment(s) referred to above will be entered).

☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on

☐ Other

☒ Enclosed:

☒ Amendment/Reply

☐ Affidavit(s)/Declaration(s)

☐ Information Disclosure Statement (IDS)

☐ Other

☐ Other

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**CONTINUED EXAMINATION TRANSMITTAL  
(RCE) (37 CFR 1.114) (continued)**

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**PATENT APPLICATION**

**Miscellaneous**

☐ Suspension of action is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ Months.  
The fee for this Suspension is (37 CFR 1.17(i)) \$130.00

☐ Other

☒ RCE filing fee \$395.00

☒ A Petition for Extension of Time

<input checked="" type="checkbox"/>	one month	\$60.00
<input type="checkbox"/>	two months	\$225.00
<input type="checkbox"/>	three months	\$510.00
<input type="checkbox"/>	four months	\$795.00

☐ Other fees

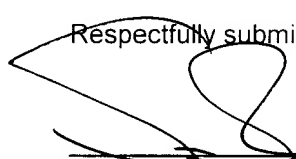
☐

Please charge **\$455.00** to Deposit Account No. **50-2849** to cover the above fees. In the event any variance exists between the amount authorized to be charged and the actual charges, please charge or credit any difference to Deposit Account No. **50-2849**.

☒ A duplicate copy of this transmittal letter is enclosed

**ANDREWS KURTH LLP**  
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Suite 300  
Washington, D.C. 20006  
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Respectfully submitted,

  
**Sean S. Wooden**  
Attorney/Agent for Applicant(s)  
Reg. No. 43,997

Date: **January 19, 2005**